



Legislation Details (With Text)

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Attachments: 1. Summary Report.pdf, 2. Attachment 1 - CCP FY 20-21 Line Item Budget.pdf

Date	Ver.	Action By	Action	Result
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To: Sonoma County Board of Supervisors
Department or Agency Name(s): Probation Department
Staff Name and Phone Number: David Koch, Probation: 565-2732
Vote Requirement: Majority
Supervisory District(s): Countywide

Title:
Public Safety Realignment Implementation Plan for Fiscal Year 20-21

Recommended Action:

Approve the Community Corrections Partnership's recommended Public Safety Realignment Implementation Plan for Fiscal Year 20-21. The Plan prioritizes public safety and supports rehabilitative services designed to reduce offenders' future involvement in the criminal justice system.

Executive Summary:

This report presents the Community Corrections Partnership's recommended Public Safety Realignment Implementation Plan for FY 20-21, describes the Community Corrections Partnership's continuing structural budget deficit, and discusses pretrial release (the release of defendants from jail whose criminal cases are pending), a topic that is rapidly evolving at both the state and county levels.

Most programs in the recommended FY 20-21 budget largely or exclusively serve realigned offenders. An exception--pretrial services--primarily serves non-realigned populations but remains in the recommended FY 20-21 budget as a vital program that reduces unnecessary incarceration time while protecting public safety. Cognizant of the Community Corrections Partnership's budget deficit, the Board allocated one-time FY 19-20 funding to cover a portion of pretrial services program costs (5.0 FTE of Probation Department staff). The Community Corrections Partnership requests continued Board support for this public safety program but only for the first half of FY 20-21, reflecting possible changes to pretrial funding in the second half of the year following a state bail reform referendum that voters will consider in November.

Discussion:

2011 Public Safety Realignment

In response to a federal court order to reduce prison overcrowding, California's Public Safety Realignment Act (Assembly Bill 109) took effect in 2011 and mandated sweeping changes to the criminal justice system by shifting the responsibility for managing select adult offenders from the state to each of the 58 counties. As of December 31, 2019, the Sonoma County Sheriff's Office and Probation Department supervise almost 700 realigned offenders, comprising 223 inmates and 459 individuals in the community, who formerly would have been supervised by the California Department of Corrections and Rehabilitation.

State Funding

To assist counties in handling this increased workload, the state provides dedicated funding derived from a 1.0625% state sales tax. In earlier years, when the Community Corrections Partnership* (CCP) was developing initial programming for realigned offenders, state revenues exceeded CCP expenses such that its fund balance grew to \$7.4 million in FY 15-16. Since then, expenses have exceeded revenues in most years as the CCP endeavored to expand programming commensurate with the influx and needs of realigned offenders. As a result, fund balance is projected to shrink to \$3.6 million at the end of FY 20-21 and to \$1.8 million in FY 21-22. In FY 22-23, projected revenues and fund balance combined will be insufficient to cover the current level of programming.

* The State created the concept of local Community Corrections Partnerships and designated them as the bodies that develop and recommend Public Safety Realignment implementation plans to their boards of supervisors. The executive committee is composed of the Chief Probation Officer as chair, the Sheriff, the District Attorney, Public Defender, a Court representative, a Chief of Police, and the head of Mental Health Services.

FY 20-21 Community Corrections Partnership Budget

Although the CCP's expenses have mostly exceeded revenues since FY 15-16, the CCP's FY 19-20 budget increased allocations for detention services by \$2.1 million to cover an additional 8.0 FTE Correctional Deputies and inmate healthcare. The CCP approved this additional funding again for FY 20-21. While destabilizing to its budget, the CCP took this necessary measure to fund a portion of detention costs associated with realigned offenders that General Fund did not cover. While realigned offenders comprise approximately 25% of the inmate population, FY 18-19 CCP funding reimbursed only 6% of detention expenses. With the increased FY 19-20 allocation, CCP funding now covers approximately 8% of detention expenses, still well below the costs of serving realigned offenders.

Most CCP-funded programs now primarily or exclusively serve realigned offenders, a major exception being pretrial services, discussed below. Unfortunately, the CCP's recommended FY 20-21 budget, including the \$1.7 million pretrial services program, will exceed projected revenue by \$2.2 million, increasing the CCP's urgency to secure alternative funding sources for this program, which generally does not serve the realigned population for which state realignment funding is intended.

All FY 19-20 programming remains in the recommended FY 20-21 budget, the only changes being the addition of a 0.5 FTE Behavioral Health Clinician (\$109,341) and a contracted psychologist (\$39,000). Both of these additions support the Penal Code 1370 Outpatient Restoration Services program. Penal Code 1370 states that defendants found mentally incompetent will have their trial or judgment suspended unless they become mentally competent. Once found mentally competent, defendants' trials may resume and judgment may be

pronounced. This program provides evidence-based interventions designed to restore misdemeanor defendants to competency so that they can participate in the legal process and have their cases adjudicated, thus reducing time spent in custody.

Overall, the CCP's FY 20-21 recommended budget is \$16,237,520, up from \$15,094,014 in FY 19-20, as summarized by department in the table below and detailed in Attachment 1. The budget supports 63.5 full-time equivalent position allocations, up from 58.5 in FY 19-20, reflecting the 5.0 FTE Probation Department pretrial services positions in the recommended FY 20-21 CCP budget that are funded by one-time Graton Mitigation funding in FY 19-20.

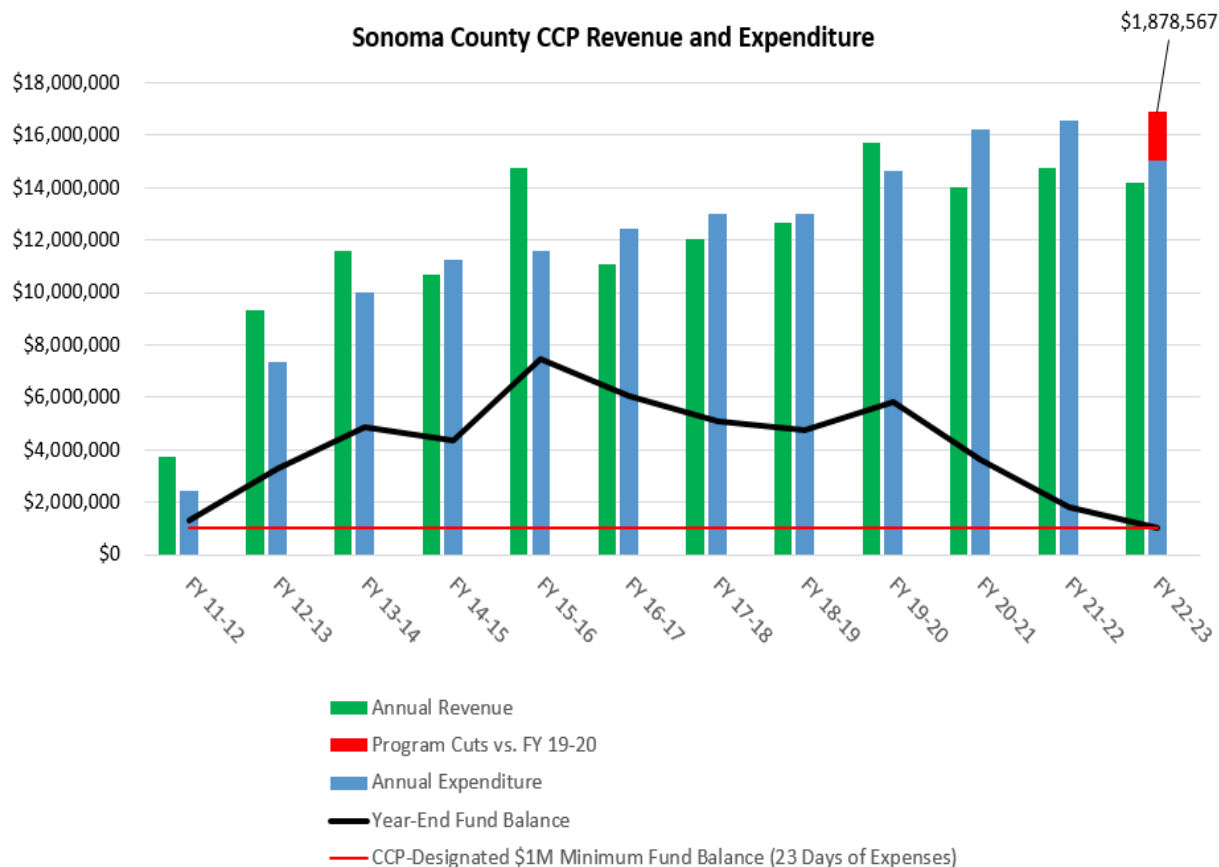
Department	FY 19-20 Approved Budget	FY 20-21 Recommended Budget*	% Change FY 19-20 to FY 20-21	Notes
Sheriff	\$7,101,432	\$6,918,530	-3%	Decrease reflects 4.0 FTE pretrial assessment staff projected not to perform this function after Q1, when Probation takes over assessments.
Probation	\$5,712,100	\$6,765,045	18%	Increase reflects 5.0 FTE pretrial staff currently funded by Graton Mitigation. Budget will be reduced if alternate funding secured.
Health Services	\$1,111,892	\$1,368,165	23%	Increase reflects an additional 0.5 FTE Behavioral Health Clinician and increased supply costs.
District Attorney	\$387,116	\$420,565	9%	
Public Defender	\$329,368	\$341,976	4%	
Human Services	\$279,906	\$276,040	-1%	
Superior Court	\$97,200	\$97,200	0%	
County Counsel	\$50,000	\$25,000	-50%	Decrease reflects revised fixed rate.
Information Systems	\$25,000	\$25,000	0%	
TOTAL	\$15,094,014	\$16,237,520	8%	

* Departments included their allocations in their respective recommended County budgets.

Cognizant that the FY 20-21 recommended budget is unsustainable, the CCP has taken and will continue to take steps toward balancing its budget. In FY 18-19, the CCP reduced funding to several programs and eliminated most programs that did not specifically target realigned offenders. The remaining programs that do not target realigned offenders--penal code 1368 competency assessments, penal code 1370 restoration services, and pretrial services--instead serve to reduce jail bed usage, thereby increasing capacity to detain more serious offenders. Looking forward, at its January 2020 meeting, the CCP agreed to devote a portion of each coming monthly meeting, through November 2020, to establishing a set of budget priorities and examining programs one by one to determine where cuts could occur to balance the budget while minimizing negative impacts to public safety.

As shown in the chart below of the CCP's revenues and expenditures, fund balance peaked at \$7.5 million in FY 15-16 and has steadily declined since then, except for in FY 19-20, when the CCP received an extraordinary

level of public safety growth funds. During the previous three years, the CCP received an average of approximately \$500,000 in annual growth funding, but in FY 19-20 received \$3.5 million based on the state's formula that rewarded Sonoma County's excellent year-over-year improvements in reducing incarcerations between calendar year 2016 and 2017. Due to the current low level of incarceration, this level of improvement is not likely to repeat. Indeed, based on recent state guidance, Sonoma County expects to receive growth funding of \$629,000 in FY 20-21 and \$568,000 in FY 21-22. Absent program reductions or alternative funding sources, fund balance is projected to diminish rapidly over the next two fiscal years until FY 22-23, when it will be insufficient to sustain programming, requiring \$1.9 million in program cuts.



The projected necessary cuts in FY 21-22 and beyond would be highly detrimental to Sonoma County's public safety system. While the CCP would recommend which programs to cut, as the only remaining large program in the CCP budget that does not target realigned offenders, pretrial services are vulnerable. As discussed below, pretrial services protect public safety while allowing lower-risk offenders to remain in the community, thereby reducing unnecessary incarceration and saving hundreds of jail bed days per year. To sustain this program, the Probation Department submitted a Program Change Request for FY 20-21, discussed below, seeking alternative local funding. Chief Koch and the rest of the CCP executive committee will continue working with the County Administrator's Office and the Board to fund this critical public safety program.

Consistent with prior years, the FY 20-21 Plan promotes evidence-based programming and upstream investments by using proven strategies to help offenders successfully complete supervision and reduce future involvement in the justice system. Furthermore, the Plan supports the strategic goals, guiding principles, and recommendations of the *Sonoma County Criminal Justice System Master Plan 2015 Update* that the Board adopted in 2015.

Pretrial Services

Description of Pretrial Services. Traditionally, courts have based decisions of release from pre-adjudication incarceration partly on defendants' ability to meet financial burdens of bond or bail, a system that may release dangerous defendants while incarcerating those who pose little risk to the community. By contrast, under pretrial services, release decisions consider defendants' risk of 1) failing to appear in court, and 2) posing a threat to public safety. By using an objective assessment tool to predict these risks, the program protects public safety while reducing unnecessary incarceration of individuals awaiting adjudication.

Informed by these assessments, the Superior Court decides whether to detain or release defendants and determines appropriate levels of pretrial supervision. Individuals granted pretrial release are then monitored by a Probation Officer to enforce law-abiding behavior and appearance for court hearings.

Results of Pretrial Services. The Court began using pretrial services in January 2015, and the program has grown from 689 pretrial grants in calendar year 2015 to over 2,000 pretrial grants in calendar year 2019, as judges have embraced this risk-based approach. As shown in the table below, the program has proven mostly successful as measured by failures to appear and new criminal referrals.

Pretrial Outcome	Number of Outcomes	Percentage of Total Releases
No Failures to Appear	1,484	83%
No New Criminal Referrals	1,455	81%

Outcomes of pretrial release exits. In 2019, there were 1,786 exits from pretrial.

Of 331 new criminal referrals in 2019, most (73%) were misdemeanor charges, and 27% were for felonies. Considering all 1,786 individuals whose pretrial grant ended in 2019, less than 5% ended due to a new felony referral.

State funding of Pretrial Services. Bail reform legislation, which will be in the hands of California voters in November 2020, may prevail and provide at least partial funding for the associated mandated pretrial services. Senate Bill 10 (SB 10) would effectively eliminate cash bail and replace the system with "Pretrial Assessment Services," a program that would gather information and provide reports to aid judges in the decision about whether a defendant is a risk to the public or likely to return to court if released before trial. While Pretrial Assessment Services reports would recommend conditions of release, judges would remain the final authorities in making pretrial release or detention decisions. The Probation Department would be responsible for monitoring released individuals in the community at an intensity level determined by the Superior Court. As written, SB 10 identifies funding support to be distributed through the local court.

Additionally, the Sonoma County Superior Court, in partnership with the Probation Department, secured \$5.7 million in state grant funding to expand pretrial operations in FY 20-21. While a boon for Sonoma County, this funding may not be used for existing programming, nor may existing programming be reduced without risking the appearance of supplantation. Rather, grant funding will support additional Probation Department and Superior Court staff, who will provide service 20 hours per day to quickly evaluate booked individuals and release those who can safely remain in the community. The Probation Department will monitor released individuals at a level determined by the Superior Court. This grant also funds a new public safety assessment

tool, development of which is underway.

In addition to modernizing and improving current services, the new grant will help the Superior Court and the Probation Department prepare to implement the requirements of SB 10. However, as all grant funds must be expended in FY 20-21, Sonoma County, perhaps supplemented by Senate Bill 10 funding, is expected to bear the full cost of pretrial services in FY 21-22. Should the legislation prevail, a full fiscal and programmatic recommendation will be developed in conjunction with our criminal justice partners, the County Administrator's Office, and the Superior Court.

Local funding of Pretrial Services. The CCP has funded pretrial services since program inception in 2013. Because the program serves mostly non-realigned offenders (less than 1% of pretrial defendants are AB 109 offenders), in 2018 the CCP proposed transitioning funding from AB 109 Realignment to the General Fund in a phased approach over three fiscal years. In response to this request, for FY 19-20, the Board approved one-time Graton Mitigation funding to cover approximately one-third of program expenses, which amounted to \$777,968 for the 5.0 FTE of Probation Department staff that support the program. Due to the one-time nature of this funding, the recommended FY 20-21 budget currently reflects the CCP fully funding the program. However, this level of funding is not sustainable, as realignment-specific programs are expected to consume all state funding in future years. Therefore, the Probation Department requests that the Board continue to provide alternative bridge funding for the same 5.0 FTE Probation staff in FY 20-21, but only for the first six months of the year, amounting to \$412,200 per the Probation Department's Program Change Request to be considered by the Board during upcoming FY 20-21 Budget hearings. This reduced request reflects funding changes that may occur following the SB 10 referendum in November 2020. Approval of this request would alleviate the CCP's structural budget deficit, protect programs for realigned offenders in out years, and appropriately align funding sources with the populations being served.

Program Highlights

Day Reporting Center

Description of the Day Reporting Center. Serving as the central point of evidence-based programming and structure for felony offenders, the Day Reporting Center (DRC) provides a detention alternative for adults who meet the program criteria. The Probation Department collaborates with the Sheriff's Office, the Department of Health Services, and the Human Services Department to provide seamless, offender-engaged reentry services that begin in custody, continue through supervision, and transition offenders to ongoing community-based supports and services when supervision ends. Services include life, parenting, and vocational skills, substance abuse treatment, and Cognitive Behavioral Intervention, a program developed in collaboration with the University of Cincinnati Corrections Institute. The program includes 55 group sessions where participants take accountability for past actions, learn new ways to handle difficult and risky situations, and create a support system and plan for success. The DRC currently serves 169 participants, above the design capacity of 150 participants.

New Program at the Day Reporting Center. The Probation Department continually seeks innovative programming that serves to improve success rates of offenders re-entering the community. With this goal in mind, we recently selected "Five Keys" to provide educational services at the DRC. Five Keys was founded in 2003 by the San Francisco Sheriff's Department as the first accredited charter high school in the nation to provide diploma programs for adults in county jails. Today, the school offers high school education, vocational

skills, life skills classes, and access to college and workforce programs to students of any age and regardless of background or circumstance. Five Keys is accredited and offers education at no charge to students or Sonoma County. Services at the DRC began in November 2019, with 25 students currently participating. Five Keys also operates in the Sheriff's Office detention facilities.

Day Reporting Center Participant Comments:

"My probation officer has been approachable, available, and reassuring throughout my time here."

"The staff at DRC makes me WANT to stay clean. Even if mistakes are made, they demonstrate a safe environment to be honest and get the help needed to be successful."

"I am grateful for all the opportunities that have opened up for me because of my participation at the DRC"

"DRC has been the best thing for me."

"The DRC has encouraged me to have a say and really made me feel like they valued my opinion."

"The staff at DRC has really helped me to stay encouraged and motivated to make the necessary changes to better my life."

"They were all very helpful and patient and helped me succeed in this program I am very grateful"

"They are INCREDIBLY welcoming for a parole/probation office that you test at...there has ALWAYS been hot coffee and food and smiles waiting for me."

"I enjoy the group all the facilitators help me well to understand the curriculum. I am using the skills in everyday to slow my thinking process, and make better choices to not have a negative outcome in situations I'm in. Learning tools to keep my sobriety always thinking rationally communicating to lift burdens off my shoulders double checking my thought process. Having healthier relationships with family and friends using the active listening skills it has helped me tremendously."

"[The Aggression Replacement Therapy instructors] have changed the way I view my anger, and since participating and recently graduating, I haven't had a serious outburst of anger in months."

"I've been done with treatment for some time now, and am presently doing very well in recovery"

"The [Child Parent Institute] instructors have been extremely supported. Roberto has continued to help me with questions and concerns with my son over the phone and by text message. I'm super thankful."

Program Evaluation

The CCP endeavors to allocate funding to its most productive uses and improve programming to reduce recidivism and increase public safety. To that end, studies of several programs, including JobLink, substance abuse treatment, and transitional housing services, are underway. While these initial studies will not be able to link individual programs to recidivism rates, criminal justice research shows that properly implemented

evidence-based programs such as these lead to improved outcomes. To determine the quality of program implementation, these studies will address questions such as whether the programs are being implemented with fidelity to the underlying evidence-based models and whether clients are making progress within the program goals (e.g., securing employment, staying sober, or moving into stable housing). However, one study underway *will* directly measure recidivism. Researchers at UC Berkeley and UC Irvine are studying efficacy of the Day Reporting Center’s Cognitive Behavioral Interventions curriculum in reducing recidivism among mentally ill offenders--a topic of great importance, as approximately half of Day Reporting Center participants have mental illness. Completion of this randomized controlled study is expected in 2022, and the CCP looks forward to sharing results with the Board.

Transitional Housing

While finding affordable housing in Sonoma County is difficult for many residents, it can be impossible for individuals recently released from incarceration. Combined with the employment and mental health challenges that this population commonly faces, the stigma of a criminal record virtually assures that probationers will be unable to compete for rental units in a tight market. The alternative of homelessness endangers not only probationers but also public safety and impairs the Probation Department’s ability to provide supervision and rehabilitative support. For these reasons, the CCP has always supported transitional housing, and the Probation Department has secured grant funding to build upon the CCP’s contribution. For example, in January 2020, service began at a new 8-bed house began under a federal “Justice and Mental Health Collaboration Program” grant. The house serves pre-adjudication individuals with serious mental illness who, absent this program, would likely remain in jail during pretrial proceedings. The following table summarizes current transitional housing funded by CCP or Probation Department grants.

Funding Source	Location	# Beds	Program Focus
CCP	Rohnert Park	10	realigned offenders
CCP	Santa Rosa	9	realigned offenders
CCP	Santa Rosa	7	sex offenders
State Department of Finance grant	Santa Rosa	15	male probationers
State Department of Finance grant	Glen Ellen	15	male probationers
	Glen Ellen	9	female probationers
Federal Justice and Mental Health Collaboration Program grant	Santa Rosa	8	pretrial with serious mental health needs
TOTAL		73	

Statement from the Sheriff’s Office

“To more accurately recover the costs of the AB 109 inmates, the Sheriff’s Office is moving to a new CCP

funding model in FY 20-21, based on the industry accepted average daily rate. This rate is based entirely on the cost of housing and care for 242 realigned inmates at the Main Adult Detention Facility. These costs include, but are not limited to, medical and mental health care; catastrophic medical; extra nursing; programs and services; crisis intervention; food, clothing, and bedding; and staffing costs. Realigned inmates make up 22.5% of Detention's Average Daily Population (ADP). At a daily rate of \$188.93, the annual cost to the County of housing AB 109 inmates is \$16,688,186. The CCP approved funding allocation to the Sheriff's Office in FY 20-21 (\$6,918,530) will fund just over 41% of this cost. The Sheriff continues to advocate for full CCP funding to cover basic housing and care costs for the County's AB109 population while also taking into consideration the other mandated AB 109 expenses."

Conclusion

Assembly Bill 109 is the cornerstone of California's legislative efforts to reduce the prison population and close the revolving door of justice system involvement for individuals convicted of less serious offenses. The CCP developed its Public Safety Realignment Implementation Plan for AB 109 in accordance with the recommendations of Sonoma County's *Criminal Justice Master Plan*. The CCP's plan seeks to cost effectively protect public safety by ensuring sufficient detention capacity for those convicted of more serious offenses while focusing on rehabilitation and supportive services in the community for those who can be safely supervised out of custody.

Prior Board Actions:

08/16/2011 - 06/11/2019: The Board approved the CCP's Annual Realignment Implementation Plan and Budget.

FISCAL SUMMARY

Expenditures	FY 19-20 Adopted	FY20-21 Projected	FY 21-22 Projected
Budgeted Expenses	\$15,094,014	\$16,237,520	\$16,573,623
Additional Appropriation Requested	\$0		
Total Expenditures	\$15,094,014	\$16,237,520	\$16,573,623
Funding Sources			
General Fund/WA GF			
State/Federal	\$15,094,014	\$14,033,172	\$14,760,008
Fees/Other			
Use of Fund Balance	\$0	\$2,204,348	\$1,813,615
Contingencies			
Total Sources	\$15,094,014	\$16,237,520	\$16,573,623

Narrative Explanation of Fiscal Impacts:

All County departments with AB 109-funded programs incorporated their portion of the realignment budget into their respective FY 20-21 departmental budgets. Sonoma County's FY 20-21 projected funding sources are as follows:

Funding Source	FY 20-21 Funding
Main AB 109 Subaccount	\$12,818,043
District Attorney/Public Defender Subaccount	\$446,635
CCP Survey Compensation	\$150,000
Main AB 109 FY 19-20 Growth Received in FY 20-21	\$629,249
10% Main AB 109 Growth to Local Innovation Subaccount	(\$62,925)
District Attorney/Public Defender FY 19-20 Growth Received in FY 20-21	\$57,967
10% District Attorney/Public Defender Growth to Local Innovation Subaccount	(\$5,797)
TOTAL FY 20-21 Funding	\$14,033,172

This funding plus fund balance of \$2,204,348 will fund recommended FY 20-21 expenditures of \$16,237,520.

Staffing Impacts:			
Position Title (Payroll Classification)	Monthly Salary Range (A-I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

For FY 20-21, the CCP recommends funding for 63.5 full-time equivalent positions, an increase of 5.0 positions from the approved FY 19-20 budget, comprising 4.0 Probation Officer IIIs and 1.0 Probation Department Senior Legal Processor. The increase represents a change in funding source rather than a staffing impact. The Board approved these positions in the CCP's budget in FY 18-19 and allocated Graton Mitigation funding to them in FY 19-20.

Attachments:

Attachment 1 - CCP FY 20-21 Line Item Budget

Related Items "On File" with the Clerk of the Board:

None.