

**Senior Advocacy Services
Amendment Number 3**

to the Agreement to Provide

**SERVICES RELATED TO THE HEALTH INSURANCE COUNSELING AND
ADVOCACY PROGRAM (HICAP), THE MEDICARE IMPROVEMENTS FOR
PATIENTS AND PROVIDERS ACT (MIPPA) AND THE OMBUDSMAN PROGRAM**

Funding Amount: **\$826,386.00**

Term: **7/1/2020 to 6/30/2021**

Agreement Number: AA-SAS-ADV-2021

This Amendment Number 3 ("Amendment") is by and between the County of Sonoma, a political subdivision of the State of California (hereinafter "County"), and Senior Advocacy Services, a California non-profit Corporation, (hereinafter "Contractor").

As provided by Article 13.7., Merger, the parties hereby evidence their intent and desire to amend the Agreement. The parties mutually desire to amend said Agreement to make the following changes:

1. Revise Article 2., Payment, to increase the Agreement amount by Twenty-Five Thousand Six Hundred Forty-Two Dollars (\$25,642.00), for a new total of Eight Hundred Twenty-Six Thousand Three Hundred Eighty-Six Dollars (\$826,386.00); and
2. Replace Section 8., Funding Allocation Summary, and the line item budgets in Section 9.1. Title III B – Ombudsman, Section 9.2. Title VII A – Ombudsman, Section 9.3. Title VII B – Elder Abuse, and Section 9.4. Ombudsman Special Deposit, in Exhibit B, Fiscal Provisions/Budgets.

R E C I T A L S

WHEREAS, County and Contractor entered into that certain Agreement, dated July 1, 2020, for services related to the Health Insurance Counseling and Advocacy Program (HICAP), the Medicare Improvements for patients and Providers Act (MIPPA), and the Ombudsman program; and

WHEREAS, County and Contractor desire to amend the Agreement to adjust funding allocations for Ombudsman and Elder Abuse Prevention services; and

NOW, THEREFORE, the parties hereto are desirous of modifying the Agreement in accordance with the terms and conditions set forth herein and hereto agree as follows:

SPECIFIC PROVISIONS

2. Payment.

For all services and incidental costs required hereunder, Contractor shall be paid on a cost reimbursement basis in accordance with the budget set forth in "Exhibit B: Fiscal Provisions/Budget" (hereinafter "Exhibit B"), attached hereto and incorporated herein by this reference. Contractor shall be paid an amount not to exceed Eight Hundred Twenty-Six Thousand Three Hundred Eighty-Six Dollars (\$826,386.00), without the prior written

approval of County. Expenses not expressly authorized by the Agreement shall not be reimbursed.

Unless otherwise noted in this agreement, payments shall be made within the normal course of county business after presentation of an invoice in a form approved by the County for services performed. Payments shall be made only upon the satisfactory completion of the services as determined by the County.

Exhibit B: Fiscal Provisions/Budgets

8. Funding Allocation Summary.

Program	CFDA#	Area Agency on Aging (AAA) Funds					Local Funds			FY 20/21 Baseline	FY 20/21 Adjustment	Total FY 20/21 Contract
		Federal	Federal Baseline Adjustment	One-Time- Only (OTO)	State	State Baseline Adjustment	General Fund	Marin General Fund	Re- Alignment			
OMBUDSMAN												
Ombudsman IIB	93.044	\$29,218	\$0	\$9,738	\$184,632	\$0	\$0	\$0	\$0	\$213,850	\$9,738	\$223,588
Ombudsman VIIA	93.042	\$44,071	\$433	\$990	\$0	\$0	\$0	\$0	\$0	\$44,071	\$1,423	\$45,494
Elder Abuse Prevention VIIIB	93.041	\$6,864	\$0	\$482	\$0	\$0	\$0	\$0	\$0	\$6,864	\$482	\$7,346
OMBUDSMAN Subtotal		\$80,153	\$433	\$11,210	\$184,632	\$0	\$0	\$0	\$0	\$264,785	\$11,643	\$276,428
OMBUDSMAN SPECIAL DEPOSIT												
Public Health L&C		\$0	\$0	\$0	\$7,930	\$0	\$0	\$0	\$0	\$7,930	\$0	\$7,930
SHF Citations/Penalties		\$0	\$0	\$13,999	\$15,315	\$0	\$0	\$0	\$0	\$15,315	\$13,999	\$29,314
SNF Q&A		\$0	\$0	\$0	\$37,667	\$0	\$0	\$0	\$0	\$37,667	\$0	\$37,667
OMBUDSMAN SPECIAL DEPOSIT Subtotal		\$0	\$0	\$13,999	\$60,912	\$0	\$0	\$0	\$0	\$60,912	\$13,999	\$74,911
HICAP Total	93.779	\$385,093	\$0	\$0	\$0	\$7,137	\$0	\$20,000	\$0	\$385,093	\$27,137	\$412,230
MIPPA (7/1/20 thru 9/30/20)	93.071	\$14,764	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$14,764	\$0	\$14,764
MIPPA (10/1/20 to 6/30/21)	93.071	\$48,053	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$48,053	\$0	\$48,053
TOTAL		\$528,063	\$433	\$25,209	\$245,544	\$7,137	\$0	\$20,000	\$0	\$758,843	\$52,779	\$826,386
Amendment 3 Changes			\$433	\$25,209						total increase=		\$25,642

9. Budgets.

9.1. Title III B – Ombudsman

LINE ITEMS FOR PROGRAM COST CATEGORIES	AAA FUNDS	MATCH		REALIGNMENT		NON-MATCH		PROGRAM INCOME	TOTAL
		CASH	IN-KIND	MATCH	NON-MATCH	CASH	IN-KIND		
PERSONNEL									
Salaries	160,382								160,382
Benefits	8,376								8,376
TOTAL PERSONNEL	\$168,758								\$168,758
TRAVEL & TRAINING									
Staff Travel	2,342								2,342
Staff Training	521								521
TOTAL TRAVEL & TRNG.	\$2,863								\$2,863
EQUIPMENT									
Expendable Equipment									
Non-expendable Equipment									
TOTAL EQUIPMENT									
TOTAL CONSULTANTS									
OTHER COSTS:									
Communications	1,713								1,713
Utilities	3,082								3,082
Office Supplies	2,285								2,285
Printing	1,999								1,999
Postage	971								971
Employee Mileage	263					4,877			5,140
Repairs & Maintenance	1,217								1,217
Outreach/advertising	694								694
Outside Services	1,926								1,926
Insurance	4,284								4,284
Publications/Members.									
Other	33,533								33,533
TOTAL OTHER COSTS	\$51,967					\$4,877			\$56,844
* INDIRECT COSTS									
TOTAL PROGRAM COSTS	\$223,588					\$4,877			\$228,465

9.2. Title VII A – Ombudsman

LINE ITEMS FOR PROGRAM COST CATEGORIES	AAA FUNDS	MATCH		REALIGNMENT		NON-MATCH		PROGRAM INCOME	TOTAL
		CASH	IN-KIND	MATCH	NON-MATCH	CASH	IN-KIND		
PERSONNEL									
Salaries	33,205								33,205
Benefits	1,454								1,454
TOTAL PERSONNEL	\$34,659								\$34,659
TRAVEL & TRAINING									
Staff Travel	407								407
Staff Training	90								90
TOTAL TRAVEL & TRNG.	\$497								\$497
EQUIPMENT									
Expendable Equipment									
Non-expendable Equipment									
TOTAL EQUIPMENT									
TOTAL CONSULTANTS									
OTHER COSTS:									
Rent/Bldg. Maintenance									
Communications	298								298
Utilities	535								535
Office Supplies	397								397
Printing	347								347
Postage	169								169
Employee Screening/Testing									
Repairs & Maintenance	211								211
Outreach/advertising	121								121
Outside Services	824								824
Insurance	744								744
Publications/Members.									
Other	6,692								6,692
TOTAL OTHER COSTS	\$10,338								\$10,338
* INDIRECT COSTS									
TOTAL PROGRAM COSTS	\$45,494								\$45,494

9.3. Title VII B – Elder Abuse

LINE ITEMS FOR PROGRAM COST CATEGORIES	AAA FUNDS	MATCH		COUNTY REALIGNMENT		NON-MATCH		PROGRAM INCOME	TOTAL
		CASH	IN-KIND	MATCH	NON-MATCH	CASH	IN-KIND		
PERSONNEL									
Salaries	5,211								5,211
Benefits	227								227
TOTAL PERSONNEL	\$5,438								\$5,438
TRAVEL & TRAINING									
Staff Travel	77								77
Staff Training									
TOTAL TRAVEL & TRNG.	\$77								\$77
EQUIPMENT									
Expendable Equipment									
Non-expendable Equipment									
TOTAL EQUIPMENT									
TOTAL CONSULTANTS									
OTHER COSTS:									
Rent/Bldg. Maintenance	33								33
Communications	46								46
Utilities	83								83
Office Supplies	62								62
Printing	54								54
Postage	26								26
Employee Screening/Testing									
Repairs & Maintenance	33								33
Outreach/advertising	19								19
Outside Services	389								389
Insurance	116								116
Publications/Members.									
Other	970								970
TOTAL OTHER COSTS	\$1,831								\$1,831
* INDIRECT COSTS									
TOTAL PROGRAM COSTS	\$7,346								\$7,346

9.4. Ombudsman Special Deposit

LINE ITEMS FOR PROGRAM COST CATEGORIES	AAA FUNDS	MATCH		COUNTY REALIGNMENT		NON-MATCH		PROGRAM INCOME	TOTAL
		CASH	IN-KIND	MATCH	NON-MATCH	CASH	IN-KIND		
PERSONNEL									
Salaries	45,914								45,914
Benefits	2,010								2,010
TOTAL PERSONNEL	\$47,924								\$47,924
TRAVEL & TRAINING									
Staff Travel	562								562
Staff Training	125								125
TOTAL TRAVEL & TRNG.	\$687								\$687
EQUIPMENT									
Expendable Equipment									
Non-expendable Equipment									
TOTAL EQUIPMENT									
TOTAL CONSULTANTS									
OTHER COSTS:									
Advertising	10,000								10,000
Communications	411								411
Utilities	740								740
Office Supplies	548								548
Printing	480								480
Postage	233								233
Employee Screening/Testing									
Repairs & Maintenance	292								292
Outreach/advertising	167								167
Outside Services	3,172								3,172
Insurance	1,028								1,028
Publications/Members.									
Other	9,229								9,229
TOTAL OTHER COSTS	\$26,300								\$26,300
* INDIRECT COSTS									
TOTAL PROGRAM COSTS	\$74,911								\$74,911

Except as expressly modified in this Amendment, the terms and conditions of Agreement Number AA-SAS-ADV-2021 shall remain in full force.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment to be fully executed by their authorized representatives.

This Amendment shall be effective on and as of the date of the last signature.

CONTRACTOR

Senior Advocacy Services

By: 

Name: Crista Barnett Nelson

Title: Executive Director

Date: 3/25/21

COUNTY OF SONOMA

By: _____

Name: Angela Struckmann

Title: Director, Human Services
Department

Date: _____

APPROVED AS TO SUBSTANCE FOR
COUNTY

By: 

Name: Paul Dunaway

Title: Director, Adult & Aging Services
Division

☐ EXEMPT FROM COUNTY COUNSEL
REVIEW

APPROVED AS TO FORM FOR COUNTY

By: 
County Counsel

☐ CERTIFICATES OF INSURANCE ON FILE
WITH COUNTY

☐ INSURANCE REQUIREMENT CHANGES
APPROVED OR WAIVED BY RISK
MANAGEMENT

By: _____