



U.S. Department of Homeland Security  
1111 Broadway, Suite 1200  
Oakland, CA 94607-4052

**FEMA**

August 26, 2019

Mark S. Ghilarducci, Director  
Governor's Authorized Representative  
California Office of Emergency Services  
3650 Schriever Avenue  
Mather, CA 95655

Reference: Application Approval, HMGP #4344-193-43F  
County of Sonoma – Generator Road Maintenance Yard Project  
FIPS Code#097-91046, Supplement #53

Dear Mr. Ghilarducci:

The Federal Emergency Management Agency (FEMA) has approved and issued Hazard Mitigation Grant Program (HMGP) funds for the County of Sonoma- Department of Transportation and Public Works (subrecipient), HMGP #4344-193-43F, Generator Road Maintenance Yard Project.

The total eligible costs are \$248,988. As shown in the enclosed Supplement #53 Obligation Report, we have obligated \$186,741 for up to 75 percent federal share; the non-Federal share match is \$62,247. These funds are available in Smartlink for eligible disbursements.

This HMGP grant approval and obligation of funds are subject to the following:

1. **Scope of Work (SOW)** – The approved activity will include purchasing and installing an 80kw diesel generator at the Santa Rosa Road Yard located at 2175 Airport Blvd., Santa Rosa, CA 95403. The generator will be installed on an existing cement pad.
2. **Budget Revisions and Cost Overruns** - In accordance with the 2015 Hazard Mitigation Assistance Unified Guidance, Part VI D.3, when budget changes are made, all programmatic requirements continue to apply. Additional information regarding budget adjustments and revisions can be found in 2 CFR Part 200.308. The Recipient must obtain FEMA's prior written approval for any budget revisions.

Cost overruns must be approved by FEMA Region IX before implementation and the subgrant must continue to meet programmatic eligibility requirements, including cost effectiveness and cost share. Additional information can be found in 2 CFR Part 200.

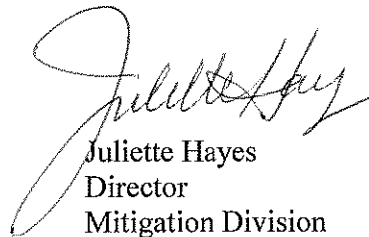
3. **Completion Date** – The work schedule in the application states the activity completion time frame is 15 months. We will annotate November 26, 2020 as the project completion date. Please inform the subrecipient that work completed after this date is not eligible for federal funding, and federal funds may be de-obligated for work not completed within schedule for which there is no approved time extension.

In accordance with 2 CFR Part 200, a Grantee must liquidate all obligations incurred under the award no later than 90 days after February 23, 2021.

4. **National Environmental Policy Act (NEPA)** – This project has been determined to be Categorically Excluded from the need to prepare either an Environmental Impact Statement or Environmental Assessment in accordance with FEMA Instruction 108-1-1 and DHS Instruction 023-01-001-01; CATEX N18 has been applied. Attention should be given to the project conditions before and during project implementation. Failure to comply with these conditions may jeopardize federal assistance including funding.
5. This award of funds is subject to the enclosed *Standard Hazard Mitigation Grant Program Conditions*, amended August 2018. Federal funds may be de-obligated for work that does not comply with these conditions.

If you have any questions or need further assistance please contact me, or your staff may contact Linda Ortiz, Hazard Mitigation Assistance Specialist, at [Linda.Ortiz@fema.dhs.gov](mailto:Linda.Ortiz@fema.dhs.gov) or (510)-627-7096.

Sincerely,



Juliette Hayes  
Director  
Mitigation Division  
FEMA Region IX

cc: Jennifer Hogan, State Hazard Mitigation Officer  
Matt Medland, Cal OES  
Robin Shepard, Cal OES  
Monika Saputra, Cal OES

Enclosures (4):  
Supplement #53 Obligation Report  
Project Management Report  
Record of Environmental Considerations  
Standard HMGP Conditions

## HAZARD MITIGATION GRANTS PROGRAM

## Obligation Report w/ Signatures

Disaster No	FEMA Project No	Amendment No	State Application ID	Action No	Supplemental No	State	Recipient
4344	43 -F	0	193	1	53	CA	Statewide
Sub-Recipient: COUNTY OF SONOMA				Project Title : 5% Sonoma County - Generator Installation at Road Maintenance Yard			
Sub-Recipient FIPS Code: 097-27121							

Total Amount Previously Allocated	Total Amount Previously Obligated	Total Amount Pending Obligation	Total Amount Available for New Obligation
\$186,741.00	\$186,741.00	\$0.00	\$0.00

Project Amount	Subrecipient Management cost amount	Total Obligation	IFMIS Date	IFMIS Status	FY
\$186,741.00	\$0.00	\$186,741.00	08/23/2019	Accept	2019

Comments

Date: 08/23/2019 User Id: KMOJICA

Comment: Approved funding for Sonoma County, Generator Road Maintenance Yard Project

Authorization

Preparer Name: KAREN MOJICA

Preparation Date: 08/23/2019

HMO Authorization Name: LINDA ORTIZ

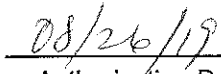
HMO Authorization Date: 08/23/2019



Authorizing Official Signature



Authorizing Official Title



Authorization Date

Authorizing Official Signature

Authorizing Official Title

Authorization Date

## HAZARD MITIGATION GRANT PROGRAM

## Project Management Report

Disaster Number	FEMA Project Number	Amendment Number	App ID	State	Recipient
4344	43 -F	0	193	CA	Statewide

Sub-Recipient: COUNTY OF SONOMA

FIPS Code: 097-27121

Project Title : 5% Sonoma County - Generator Installation at Road Maintenance Ya

Mitigation Project Description

Amendment Status : Approved

Approval Status: Approved

Project Title : 5% Sonoma County - Generator Installation at Road Maintenance Yard

Recipient : Statewide

Sub-Recipient : COUNTY OF SONC

Recipient County Name : Sonoma

Sub-Recipient County Name : Sonoma

Recipient County Code : 97

Sub-Recipient County Code : 97

Recipient Place Name : Sonoma (County)

Sub-Recipient Place Name : Sonoma (County)

Recipient Place Code : 0

Sub-Recipient Place Code : 99097

Project Closeout Date : 00/00/0000

Work Schedule Status

Amend #	Description	Time Frame	Due Date	Revised Date
0	Kick-off meeting, finalize design	1m	00/00/0000	00/00/0000
0	2. Apply for permits	3m	00/00/0000	00/00/0000
0	3. Final contract drawing development	2m	00/00/0000	00/00/0000
0	4. Bidding	3w	00/00/0000	00/00/0000
0	5. Open Bids and award contract	2m	00/00/0000	00/00/0000
0	6. Equipment lead time	3m	00/00/0000	00/00/0000
0	7. Construction - conductor installation	3w	00/00/0000	00/00/0000
0	8. Construction - equipment installation	2w	00/00/0000	00/00/0000
0	9. Construction - connect all equipment	3w	00/00/0000	00/00/0000
0	10. Construction - Testing the system	1w	00/00/0000	00/00/0000
0	Project Close-out	1m	00/00/0000	00/00/0000
0	Grant closeout	3m	00/00/0000	00/00/0000

Approved Amounts

Total Approved Net Eligible	Federal Share Percent	Total Approved Federal Share Amount	Non-Federal Share Percent	Total Approved Non-Fed Share Amount
\$248,988	75.00000000	\$186,741	25.00000000	62247

Allocations

Allocation Number	IFMIS Status	IFMIS Date	Submission Date	FY	ES Support Req ID	ES Amend Number	Proj Alloc Amount Fed Share	Subrecipient Management Cost	Total Alloc Amount
21	A	08/23/2019	08/23/2019	2019	2793461	9	\$186,741.00		\$3,709,790.25
Total							\$186,741.00	\$0.00	\$186,741.00

Obligations

Action Nr	IFMIS Status	IFMIS Date	Submission Date	FY	ES Support Req ID	ES Amend Number	Suppl Nr	Project Obligated Amt - Fed Share	Subrecipient Management Cost	Total Obligated Amount
1	A	08/23/2019	08/23/2019	2019	2893370	0	53	\$186,741.00	0.00	\$186,741.00
Total								\$186,741.00	\$0.00	\$186,741.00

Fed share obligated as of 8/23/19					0%
DR	Declaration date	Fed share obligated	Fed share available	Combined totals (Columns C + D)	Percent Obligated
4282-HI	10/6/2016	\$648,168	\$0	648,168	100%
4301-CA	2/14/2017	\$13,062,414	\$10,115,502	23,177,916	56%
4305-CA	3/16/2017	\$2,543,355	\$8,089,179	10,632,534	24%
4307-NV	3/27/2017	\$1,009,805	\$1,811,067	2,820,872	36%
4308-CA	4/1/2017	\$8,313,867	\$60,222,634	68,536,501	12%
4344-CA	10/10/2017	\$14,459,671	\$281,087,956	295,547,627	5%
4353-CA	1/2/2018	\$867,079	\$67,027,317	67,894,396	1%
4357-AS	3/2/2018	\$0	\$4,902,313	4,902,313	0%
4365-HI	5/8/2018	\$27,221	\$3,729,771	3,756,992	1%
4366-HI	5/11/2018	\$241,799	\$23,655,295	23,897,094	1%
4382-CA	8/4/2018	\$96,975	\$35,143,537	35,240,512	0%
4395-HI	9/27/2018	\$0	\$2,295,477	2,295,477	0%
4396-HI	9/29/2018	\$0	\$453,115	453,115	0%
4398-GU	10/1/2018	\$0	\$1,160,598	1,160,598	0%
4404-MP	10/26/2018	\$4,500,000	\$61,559,460	66,059,460	7%
4407-CA	11/12/2018	\$58,695	\$308,467,777	308,526,472	0%

16:45:30

## RECORD OF ENVIRONMENTAL CONSIDERATION (REC)

Project HMGP 4344-193-43

Title: Santa Rosa Road Maintenance Yard Generator

## NEPA DETERMINATION

Non Compliant Flag: No	EA Draft Date:	EA Final Date:
EA Public Notice Date:	EA Fonsi	Level: CATEX
EIS Notice of Intent	EIS ROD Date:	

**Comment** The Sonoma County Department of Transportation and Public Works, Sonoma County, proposes that a generator be built adjacent to the Charles M. Schulz & Sonoma County Airport terminal building at 2200 Airport Blvd., Santa Rosa, CA 95403. The project would be located in the following locations: Terminal Building (38.510217, -122.805475); Tower (38.509910, -122.805434); Holdroom Expansion (38.510503, -122.806374). The generator for the airport will provide a backup power source to maintain critical infrastructure services to the community during an emergency event. The proposed project includes: purchasing a 750 Kw diesel generator with integrated diesel fuel tank for a minimum of four days of operations; construction of sound proof walls connecting to the terminal electrical panel and providing electrical switch-gear to prevent back feed of power to Pacific Gas & Electric's electrical grid; total dimensions for this generator project are estimated at 35 feet x 20 feet. The project staging area is a cement pad located close to the project location out of the way of airport traffic and vehicle movement.

This project has been determined to be Categorical Excluded from the need to prepare either an Environmental Impact Statement or Environmental Assessment in accordance with FEMA Instruction 108-1-1 and DHS Instruction 023-01-001-01: Categorical Exclusion(s) n18 has been applied. Particular attention should be given to the project conditions before and during project implementation. Failure to comply with these conditions may jeopardize federal assistance including funding.

- sortega3 - 08/15/2019 17:35:12 GMT

\*\*\*COMMENT ABOVE IS VOID AND NO LONGER APPLICABLE\*\*\*The Sonoma County Department of Transportation and Public Works, Sonoma County, proposes to purchase and install an 80Kw diesel generator at Santa Rosa Road Yard. The generator will be installed on an existing cement pad at the Santa Rosa Road Yard located at 2175 Airport Blvd., Santa Rosa, CA 95403 between Buildings A (38.512252, -122.802124) and Building B (38.511307, -122.802076) and the Equipment Bays building (38.511374, -122.802399); and Road Stock Building (38.511520, -122.801836). Work also includes the wiring and other conductors to be installed in existing conduits to carry the power from the generator to the two transfer switches that will be installed at the two Pacific Gas & Electric power connections in Buildings A and B. The project staging area is located around the installation location for the generator unit. The area is approximately a 75ft by 100ft rectangle. The onsite generator would enable Transportation and Public Works to reduce the risks of interruption of essential services, maintaining communication during critical dispatching activities to ensure the safety of first responders, the public, and department staff.

This project has been determined to be Categorical Excluded from the need to prepare either an Environmental Impact Statement or Environmental Assessment in accordance with FEMA Instruction 108-1-1 and DHS Instruction 023-01-001-01: Categorical Exclusion(s) n18 has been applied. Particular attention should be given to the project conditions before and during project implementation. Failure to comply with these conditions may jeopardize federal assistance including funding. - sortega3 - 08/15/2019 23:27:45 GMT

## CATEX CATEGORIES

Catex Category Code	Description	Selected
*n18	(*n18) Federal Assistance for Construction or Installation of Structures, Facilities, or Equipment to Ensure Continuity of Operations. Federal assistance for the construction or installation of measures for the purpose of ensuring the continuity of operations during incidents such as emergencies, disasters, flooding, and power outages involving less than one acre of ground disturbance. Examples include the installation of generators, installation of storage tanks of up to 10,000 gallons, installation of pumps, construction of structures to house emergency equipment, and utility line installation. This CATEX covers associated ground disturbing activities, such as trenching, excavation, and vegetation removal of less than one acre, as well as modification of existing structures.	Yes

## RECORD OF ENVIRONMENTAL CONSIDERATION (REC)

Project HMGP 4344-193-43

Title: Santa Rosa Road Maintenance Yard Generator

## EXTRAORDINARY

## Extraordinary Circumstance Code

## Description

## Selected ?

No Extraordinary Circumstances were selected

## ENVIRONMENTAL LAW / EXECUTIVE ORDER

Environmental Law/  
Executive Order

## Status

## Description

## Comment

Clean Air Act (CAA)

Completed

Project is located in a non-attainment area

The project is located in Sonoma County that is within a nonattainment area for PM-2.5 (2006 - San Francisco Bay Area); 8-Hour Ozone (2008 - San Francisco Bay Area); 8-Hour Ozone (2015 - San Francisco Bay Area) according to the U.S. Environmental Protection Agency (USEPA) (<https://www3.epa.gov/airquality/greenbook/ancil.html>), updated July 31, 2019. Based on the scope of work, the potential emissions from project activities are clearly below the minimum thresholds for the General Conformity Rule. Thus, the project is exempt from a conformity determination. See Condition. - sortega3 - 08/15/2019 17:37:35 GMT

Completed

Coordination required with applicable state administering agency - Review concluded

Coastal Barrier Resources Act (CBRA)

Completed

Project is not on or connected to CBRA Unit or otherwise protected area - Review concluded

Clean Water Act (CWA)

Completed

Project would not affect any water of the U.S. - Review concluded

Coastal Zone Management Act (CZMA)

Completed

Project is not located in a coastal zone area and does not affect a coastal zone area - Review concluded

Executive Order 11988 - Floodplains

Completed

No effect on floodplain/flood levels and project outside floodplain - Review concluded

Per Flood Insurance Rate Map (FIRM) panel 06097C0568E, dated 12/2/2008, the project is located outside the Special Flood Hazard Area (100-year floodplain, base floodplain) and the activity does not adversely affect floodplain values. See Attached. - sortega3 - 08/15/2019 17:41:07 GMT

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## RECORD OF ENVIRONMENTAL CONSIDERATION (REC)

Project HMGP 4344-193-43

Title: Santa Rosa Road Maintenance Yard Generator

Environmental Law/ Executive Order	Status	Description	Comment
Executive Order 11990 - Wetlands	Completed	No effects on wetlands and project outside wetlands - Review concluded	Per the U.S. Fish and Wildlife Service Wetland Mapper viewed on August 15, 2019, the project location is located outside of wetlands and not likely to result in any potential direct impacts that will adversely affect wetlands. See Attached. - sortega3 - 08/15/2019 17:39:51 GMT
Executive Order 12898 - Environmental Justice for Low Income and Minority Populations	Completed	Low income or minority population in or near project area	The project will result in a beneficial impact to all populations. - sortega3 - 08/15/2019 17:40:17 GMT
	Completed	No disproportionately high and adverse impact on low income or minority population - Review concluded	
Endangered Species Act (ESA)	Completed	Listed species and/or designated critical habitat present in areas affected directly or indirectly by the federal action	The proposed project will not destroy or adversely modify suitable habitat and will not affect any other listed or proposed species under the jurisdiction of the USFWS. It is therefore determined the proposed project would have a No Effect, on listed species and consultation with the USFWS under Section 7 of the Endangered Species Act is not required. See attached No Effect Determination. - sortega3 - 08/15/2019 17:35:52 GMT
	Completed	No effect to species or designated critical habitat (See comments for justification) - Review concluded	
Farmland Protection Policy Act (FPPA)	Completed	Project does not affect designated prime or unique farmland - Review concluded	
Fish and Wildlife Coordination Act (FWCA)	Completed	Project does not affect, control, or modify a waterway/body of water - Review concluded	
Migratory Bird Treaty Act (MBTA)	Completed	Project located within a flyway zone	Project located within the Pacific Flyway zone. Project does not have potential to take migratory birds. - sortega3 - 08/15/2019 17:38:50 GMT
	Completed	Project does not have potential to take migratory birds - Review concluded	
Magnuson-Stevens Fishery Conservation and Management Act (MSA)	Completed	Project not located in or near Essential Fish Habitat - Review concluded	
National Historic Preservation Act (NHPA)	Completed	Applicable executed Programmatic Agreement. Activity meets Programmatic Allowance (enter date)	This Undertaking was reviewed by Marjorie Nowick who meets the Secretary of the Interior's Professional Qualifications per



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## RECORD OF ENVIRONMENTAL CONSIDERATION (REC)

Project HMGP 4344-193-43

Title: Santa Rosa Road Maintenance Yard Generator

Environmental Law/ Executive Order	Status	Description and # in comments) - Review concluded	Comment
			Stipulation I.B.1.a of the 2015 Section 106 Programmatic Agreement among FEMA, CA State Historic Preservation Officer (SHPO), and CA Governor's Office of Emergency Services (Cal OES) executed on October 30, 2014 (Agreement). This Undertaking consists of purchase and installation of an emergency generator at the Sonoma County EOC yard. The generator will be installed on an existing concrete pad, wires pulled into existing conduit, and then installed into Buildings A and B which are utilitarian, corrugated metal-clad buildings less than 45 years of age. This Undertaking meets Programmatic Allowances I.B.1 (building less than 45 years) II.D.2.a (generator) in Appendix B of the Agreement for installation of a generator. As such, per Stipulation II.A.1 of the Agreement, no review by or notification to the SHPO is required. - mnowick - 08/14/2019 18:37:01 GMT
Wild and Scenic Rivers Act (WSR)	Completed	Project is not along and does not affect Wild and Scenic River - Review concluded	

## CONDITIONS

## Special Conditions required on implementation of Projects:

The Subgrantee is responsible for complying with all applicable subparts of the Clean Air Act.

Source of condition: Clean Air Act (CAA)

Monitoring Required: No

## Standard Conditions:

Any change to the approved scope of work will require re-evaluation for compliance with NEPA and other Laws and Executive Orders.

This review does not address all federal, state and local requirements. Acceptance of federal funding requires recipient to comply with all federal, state and local laws. Failure to obtain all appropriate federal, state and local environmental permits and clearances may jeopardize federal funding.

If ground disturbing activities occur during construction, applicant will monitor ground disturbance and if any potential archeological resources are discovered, will immediately cease construction in that area and notify the State and FEMA.

# Standard Mitigation Grant Program (HMGP) Conditions

FEMA Region IX, August, 2018

The following list applies to Recipients and Subrecipients accepting HMGP funds from the Federal Emergency Management Agency (FEMA) of the Department of Homeland Security (DHS):

1. **Applicable Federal, State, and Local Laws and Regulations.** The Recipient/Subrecipient must comply with all applicable Federal, State, and Local laws and regulations, regardless of whether they are on this list or other project documents. DHS financial assistance Recipients and Subrecipients are required to follow the provisions of the State HMGP Administrative Plan, applicable Hazard Mitigation Assistance Uniform Guidance, and Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards located in Title 2 of the Code of Federal Regulations (CFR) Part 200, adopted by DHS in 2 CFR 302.
2. **Financial Management Systems.** The Recipient and Subrecipient must maintain financial management systems to account for and track funds, as referenced in 2 CFR 200.302.
3. **Match or Cost Share.** Non-federal match or cost share must comply with 2 CFR 200.306, the scope of work (SOW), and any agreements among the Subrecipient, the Recipient, and FEMA.
4. **Budget Changes.** Unanticipated adjustments are permitted within the approved total cost. However, if costs exceed the federal share, the Subrecipient must notify the Governor's Authorized Representative (GAR) of overruns before implementation. The GAR shall submit a written request for approval to FEMA Region IX. The subaward must continue to meet HMGP requirements, including cost effectiveness and cost share. Refer to 2 CFR 200.308 for additional information.
5. **Real Property and Land.** The acquisition, use, and disposition must comply with 2 CFR 200.311.
6. **Equipment.** The acquisition, use, and disposition must comply with 2 CFR 200.313.
7. **Supplies.** Upon project completion, FEMA must be compensated for unused supplies, exceeding \$5,000 (fair market value), and not needed for other federal programs. Refer to 2 CFR 200.314.
8. **Procurement.** Procurement procedures must be in conformance with 2 CFR 200.318-320.
9. **Monitoring and Reporting Program Performance.** The Recipient and Subrecipient must submit quarterly progress reports, as referenced in the 2 CFR 200.328 and State HMGP Administrative Plan.
10. **Records Retention.** In accordance with 2 CFR 200.333, financial/ programmatic records related to expenditures must be maintained at least 3 years after the date of Recipient's final expenditure report.
11. **Enforcement and Termination.** If the Recipient or Subrecipient fails to comply with the award or subaward terms, whether stated in a Federal statute or regulation, the State HMGP Administrative Plan, subpplication, a notice of award, an assurance, or elsewhere, FEMA may take one or more of the actions outlined in 2 CFR 200.338, including termination or partial termination of the award or subaward outlined in 2 CFR 200.339.
12. **Allowable Costs.** Funds are to be used for allowable costs in compliance with 2 CFR 200.403, the approved SOW, and any agreements among the Subrecipient, Recipient, and FEMA.

13. **Non-Federal Audit.** The Recipient and Subrecipient are responsible for obtaining audits in accordance with the Single Audit Act of 1984, in compliance with 2 CFR 200.501.
14. **Debarred and Suspended Parties.** Recipients and Subrecipients are subject to the non-procurement debarment and suspension regulations implementing Executive Orders 12549 and 12689, and 2 CFR 180. These regulations restrict federal financial assistance awards, subawards, and contracts with parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in the federal assistance programs or activities.
15. **Equipment Rates.** Rates claimed for use of Subrecipient-owned equipment in excess of the FEMA-approved rates must be approved under State guidelines issued by the State Comptroller's Office or must be certified by the Recipient to include only those costs attributable to equipment usage less any fixed overhead and/or profit.
16. **Duplication of Funding between Public Assistance (PA) and HMGP.** Funding for PA Section 406 and HMGP Section 404 are permitted on the same facility/location, but the activities identified under each program must be distinct with separately accounted funds. At closeout, FEMA may adjust the funding to ensure the Subrecipient was reimbursed for eligible work from only one funding source.
17. **Historic Properties and Cultural Resources.** In compliance with 2 CFR 800, if a potential historic property or cultural resource is discovered during construction, the Subrecipient must cease work in the area and take all reasonable measures to avoid or minimize harm to the discovered property/resource. During construction, the Subrecipient will monitor ground disturbance activity, and if any potential archeological resources are discovered, will immediately cease work in that area, and notify the Recipient and FEMA. Construction in the area may resume with FEMA's written approval after FEMA's consultation, if applicable, with the State Historic Preservation Officer (SHPO).
18. **NEPA and Changes to the Scope of Work (SOW).** To comply with the National Environmental Policy Act (NEPA), and other Laws and Executive Orders, any change to the approved SOW shall be re-evaluated before implementation. Construction associated with a SOW change, prior to FEMA approval, may be ineligible for funding. Acceptance of federal funding requires environmental permits and clearances in compliance with all appropriate federal, state and local laws, and failure to comply may jeopardize funding.

Within their authority, the Recipient and Subrecipient must use of all practicable means, consistent with other essential policies, to create and maintain productive harmony for people and nature, and fulfill the social, economic, and other needs of present and future generations of Americans.